

2153\$  
PATENT  
#6

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Timothy Roy Block : Date: March 25, 2004  
Group Art Unit: 2153 : IBM Corporation  
Examiner: B. E. Edelman : Intellectual Property Law  
Serial No.: 09/718,924 : Dept. 917, Bldg. 006-1  
Filed: November 22, 2000 : 3605 Highway 52 North  
Title: APPARATUS AND METHOD FOR : Rochester, MN 55901  
COMMUNICATING BETWEEN  
COMPUTER SYSTEMS USING A  
SLIDING SEND WINDOW FOR  
ORDERED MESSAGES IN A  
CLUSTERED COMPUTING  
ENVIRONMENT

RECEIVED

MAR 31 2004

Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R.

Serial No. 09/718,924  
Docket No. ROC920000123US1

§1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

Date: March 25, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box 1450, Alexandria, VA 22313-1450, on

March 25, 2004

(Date of Deposit)

*Nancy J. Larson*  
Nancy J. Larson

Respectfully submitted,

By: 

Steven W. Roth, Attorney  
Attorney Reg. No.: 34,712  
IBM Corporation, Dept 917  
3605 Highway 52 North  
Rochester, MN 55901-7829  
Telephone: (507) 253-1600